

Baron Capital UCITS ICAV
ADDITIONAL INFORMATION FOR INVESTORS IN THE UNITED KINGDOM

13 December 2024

Information contained herein is selective, containing specific information in relation to Baron Capital UCITS ICAV (the "ICAV"). This document (the "UK Country Supplement") forms part of and should be read in conjunction with the Prospectus for the ICAV dated 10 April 2024 along with any Supplement and/or Addendum designed to be read and constituted together with and to form part of the Prospectus (collectively the "Prospectus"). This document is for distribution in the United Kingdom only.

Words and expressions defined in the Prospectus shall, unless the context otherwise requires, have the same meaning when used herein.

Enhanced disclosures regarding consumer redress schemes and the potential lack of access to Financial Services Compensation Scheme ("FSCS") and Financial Ombudsman Service ("FOS")

This ICAV is domiciled in Ireland and is authorised by the Central Bank of Ireland. The ICAV is recognised in the UK under the Overseas Funds Regime (section 271A) but is not a UK-authorized fund. The ICAV is managed by FundRock Management Company S.A. (the "**Management Company**") which is domiciled in Grand Duchy of Luxembourg and is authorised by the *Commission de Surveillance du Secteur Financier* ("CSSF").

UK investors should be aware that if they invest in this ICAV, they will not be able to refer a complaint against the Management Company or the Depositary to the UK's Financial Ombudsman Service. Any claims for losses relating to the Management Company or the Depositary will not be covered by the Financial Services Compensation Scheme, in the event that either person should become unable to meet its liabilities to investors.

The Management Company has appointed Baron Capital Management UK Limited as the ICAV's UK Representative to maintain facilities pursuant to the Collective Investment Schemes Sourcebook published by the Financial Conduct Authority ("**FCA**"). The UK Representative is authorised and regulated by the FCA. The facilities will be located at the registered office address of the UK Representative at Suite 1, 7th Floor 50 Broadway, London, United Kingdom, SW1H 0BL, where the following may be obtained:

1. information in writing about the ICAV's most recently published prices for Shares in the Funds;
2. Shareholders may redeem or arrange for redemption of their Shares in the Funds and from which payment of the price on redemption may be obtained;
3. the following documents concerning the ICAV are available for inspection free of charge and for which copies in English can be obtained free of charge:
 - 3.1 the Instrument of Incorporation for the ICAV and any amendments thereto;
 - 3.2 the most recently prepared Prospectus and this Country Supplement;
 - 3.3 the most recent key investor information documents ("KIIDs");
 - 3.4 the most recently prepared annual and half-yearly reports relating to the ICAV; and

- 3.5 any Shareholder or other person can submit a complaint about any aspect of the service including the operations of the ICAV for transmission to the ICAV.

Furthermore, those documents can be obtained (free of charge in the case of the Prospectus, KIIDs and the most recent annual and semi-annual report and otherwise at no more than a reasonable charge) in hard copy at the offices of the UK Representative at the aforementioned address.

Investors can obtain information about the most recent prices and redemption facilities from the office of the UK Representative detailed above. Updated prices are also available at the registered office of the ICAV.

UK resident investors should seek their own professional advice as to tax matters and other relevant considerations. Please note that investors making investments in the ICAV may not receive back their entire investment and investors should note that any changes in tax rules and / or legislation may alter the benefits of an investment in the ICAV and any of its Funds.

Fees and Expenses

Information relating to the fees and expenses payable by investors in each of the Funds is set out in the sections of the Prospectus headed "Fees and Expenses" and "Local Agent". The attention of investors and/or prospective investors is drawn to the information relating to fees and expenses set out therein.

Access to an Alternative Dispute Resolution ("ADR") mechanism outside the UK but not to a compensation scheme

A UK investor will be able to make a complaint to the ICAV and the Management Company and some investors will have a right to make a complaint to the Irish Financial Services and Pensions Ombudsman, which is an alternative dispute resolution scheme for consumers (natural persons and small businesses). A UK investor may not have a right to access a compensation scheme in Ireland in the event that the ICAV should become unable to meet its liabilities to investors.