



Transfer on Death (TOD) Re-Registration Form

This form may be used to transfer your existing Baron Capital® non-retirement account to a new Baron Capital® TOD account registration (all current account options will carry over).

Please note: A Medallion Signature Guarantee is required and a new account number will be assigned.

1. Current Account Information: (Please print)

Account Owner's Name

Joint Account Owner's Name (if applicable)

Address of Record

Daytime Phone Number

Account Number

2. TOD Beneficiary Designation

If you reside in a State that has adopted the Uniform Transfer on Death Registration Act, you may designate a beneficiary who will automatically own the account assets upon your death, outside of probate and other court proceedings. The beneficiary has no rights to the account until after your death. If percentage of shares is not indicated or doesn't equal 100% benefits will be paid in equal shares.

* Note: A custodian is required if the beneficiary is a minor. Please provide the custodian's name, social security number and date of birth along with the information requested below for the minor.

PRIMARY BENEFICIARY(IES):

| | | |
|---|----------------------------|------|
| 1 st Beneficiary's Name: First | M.I. | Last |
| SSN/U.S. Tax ID | Date of Birth (MM/DD/YYYY) | |
| Relationship | % of Shares | |
| 2 nd Beneficiary's Name: First | M.I. | Last |
| SSN/U.S. Tax ID | Date of Birth (MM/DD/YYYY) | |
| Relationship | % of Shares | |

CONTINGENT BENEFICIARY(IES):

| | | |
|---|----------------------------|------|
| 1 st Beneficiary's Name: First | M.I. | Last |
| SSN/U.S. Tax ID | Date of Birth (MM/DD/YYYY) | |
| Relationship | % of Shares | |
| 2 nd Beneficiary's Name: First | M.I. | Last |
| SSN/U.S. Tax ID | Date of Birth (MM/DD/YYYY) | |
| Relationship | % of Shares | |

3. Signatures and Authorization

In order to complete your request, all listed account owners must sign below exactly as it appears on your account (if signing on behalf of the account owner, please include your designated title and a Medallion Signature Guarantee).

A **Medallion Signature Guarantee** assures that a signature is genuine and protects investors from unauthorized requests. A Medallion Signature Guarantee may be obtained from an officer of a commercial bank or trust company, savings and loan or savings bank, or a member firm of a domestic stock exchange. Notarization by a notary public is **NOT** acceptable.

By signing below, the owner(s) of the above referenced account(s) hereby authorizes the options specified in this form.

Account Owner’s Signature Date

Affix Medallion Signature Guarantee stamp

Title (if acting on behalf of the Account Owner)

Joint Account Owner’s Signature Date

Affix Medallion Signature Guarantee stamp

Title (if acting on behalf of the Account Owner)

Please return the completed form to the address below:

Regular Mail:
Baron Capital®
P.O. Box 219946
Kansas City, MO 64121-9477

Overnight Mail:
Baron Capital®
801 Pennsylvania Ave, Suite 219946
Kansas City, MO 64105-1307

If you have any questions or to ensure that all legal requirements are met, please contact a Baron Capital representative at 1-800-442-3814.